

Directorate Performance Overview Report

Directorate: Children and Enterprise Directorate

Reporting Period: Quarter 2, Period 1 July 2012 – 30 September 2012

1.0 Introduction

This report provides an overview of issues and progress within the Directorate that have occurred within Quarter 2. The way in which traffic light symbols have been used to reflect progress to date is explained within the Appendix (section 8).

Please note initials have been provided to indicate which Operational Director is responsible for the commentary to aid Members, as requested by the Children and Young People Policy and Performance Board. A key is provided at the end of the report in Appendix (section 8).

2.0 Key Developments

Inspiring Families Project (previously Troubled Families)

In order to localise the approach to Troubled Families, the service has been rebranded Inspiring Families as this will promote a more positive perception of the needs of the families that the service aims to work with. 145 have been identified. It has been agreed by all agencies that the Team Around the Family (TAF) model, and in particular the intensive family support service, will be the framework used to deliver the service. A multi-agency team is being constructed as the needs of the families cut across a range of services and age groups. A family assessment tool is also being developed. (AM, TC)

Key Stage 4 Pupil Referral Unit (KS4 PRU)

Education for learners attending the KS4 Pupil Referral Unit (KS4PRU) is through providers of Alternative Provision. Contracts with these providers are advertised and procured through the Council Procurement Team. Previously contracts have been based on a set number of places for learners. For the academic year 2012-2013 the contracts have been amended to include a base payment for learner places (lower than previous years' payments), then additional payments to reward providers if they are able to secure a set percentage improvement in learners' attendance and for providing a tutor with qualified teacher status to educate learners. This model has been introduced to help drive up standards as part of the inspection action plan. (AM)

Pupil Premium

Ofsted are now conducting surveys in a number of schools looking at how the Pupil Premium is being spent and the impact of the school's actions on pupils. Schools will receive notice of the visit and will be asking to complete a data template as part of the survey. The focus of the visit will include:

- An initial discussion with the head teacher and senior colleagues.
- An extended discussion with the appropriate senior leaders about the way in which the school planned to spend the pupil premium funding, the way in which the effectiveness of the actions were monitored and evaluated, and the impact that the premium funding has had on outcomes for pupils.
- Observations of specific lessons or activities related to the pupil premium.
- Case studies of 3 pupils that schools think have particularly benefited from the actions taken as a result of the pupil premium funding, which will include one looked after child and two pupils known to be eligible for free school meals.

Schools will also be asked to have ready on the day of the visit any documents that will illustrate how they plan to spend the pupil premium and how impact was / will be monitored for example minutes of

meetings, including governing body meetings, data analysis and tracking, lesson observations and analysis. (SN)

New Social Work Assessment and Planning Model

The Department for Education issued revised draft guidance for Safeguarding Children during the summer. The guidance removes the requirement to carry out both an initial and core assessment of a family's needs, replacing it with a single local framework. The final guidance will be issued later this year. The twenty three local authorities in the North West have agreed to develop a model and Halton is leading this work. A draft model has been developed and a regional event was held on 26th September 2012 as part of the consultation process. The model will reduce duplication and place a greater emphasis on planning and service delivery, rather than simply measuring how many assessments are completed on time, which after all is only a process. (TC)

3.0 Emerging Issues

School Funding Reform

The Government plan to reform the way schools are funded so that it is simplified, fairer and more transparent. From April 2013 there will be a change to the local funding formula for schools and new funding arrangements affecting all areas.

The key changes are as follows:

- The Dedicated Schools Grant will be allocated to 3 notional blocks: Schools; Early Years and High Needs;
- Local Authorities would be required to develop a formula for distributing Schools block funding; and
- Permitted formula factors would be reduced from 37 to 12.

Centrally retained funds would be slimmed down with the intention of delegating as much funding as possible to schools. The LA may on a temporary basis fund some statutory functions and in some cases the Department for Education would allow schools to de-delegate budgets.

Funding for all High Needs provision will be contained within a new High Needs block including the funding for special schools, units and high needs mainstream pupils. The funding for special schools and special units will be based on a place plus model plus top up. There will be a financial threshold in mainstream settings and colleges which must be identified before any top up funding can be allocated to pupils with additional needs. Criteria for this top up funding will need to be agreed.

The changes to the allocation of the schools budgets will be agreed at School Forum on 16th October 2012. Work will continue to be undertaken over the next few months on both the Early Years funding changes and High Needs funding. (AM/SN)

The new remand framework for children: Allocation of new burdens funding to local authorities

On 21 September 2012 the Ministry of Justice issued a consultation paper on proposals for the devolution of under-18 secure criminal remand budgets to local authorities as a result of the Provisions in the Legal Aid, Sentencing and Punishment of Offenders (LASPO) Act 2012. The Act paves the way, where children are remanded securely, for them to become children in care. This also represents a new burden for local authorities and the paper sets out the proposals for the distribution of this funding.

There are two main implications of these changes; firstly in Halton our Youth Offending Service has been successful in reducing the number of children remanded into secure accommodation, but the funding allocation is based on the current level of activity. Therefore there is no financial reward for this success. Those local authorities who have higher number of children being secured remanded will get a higher level of funding and therefore have greater scope to develop alternative to secure

remands. If there is a sudden rise in secure remands by the courts this would place significant pressures on existing budgets. Secondly as children remanded securely will now have the status of being in care, this will increase our responsibilities, as well as costs, as there will be more children in care and therefore more children having the status of 'care leaver'. (TC)

4.0 Risk Control Measures

Risk control forms an integral part of the Council's Business Planning and performance monitoring arrangements. During the development of the 2012/13 Business Plan, the service was required to undertake a risk assessment of all key service objectives with high risks included in the Directorate Risk Register.

As a result, monitoring of all relevant 'high' risks has been undertaken and progress reported against the application of the risk treatment measures. This included in each of the quarterly monitoring reports by department. Below is a summary of the risks where progress is uncertain or not met for each high risk.

LAS1: Failure to provide sufficient resources to ensure required levels of support for schools and settings as a result of cessation of grant funding and future efficiencies. Whilst there is no evidence at this stage that there has been an impact upon quality and standards this will continue to be monitored to establish the long term impact. Risk have been mitigated through the deployment of retained school improvement support to target schools; effective school to school support including the use of National and Local Leaders in education and schools' purchase of school improvement through traded services. (SN)

Additional risk is also noted around the changes to the funding formula for schools as outlined in the Emerging Issues section above. (AM/SN)

CFS1: Failure to ensure that the development, design and implementation of CareFirst6 supports and enhances the effectiveness of frontline practice. CareFirst6 has been implemented within approximately 40% of the department and the Children's Social Care ICT Management Group meets monthly. The system will be upgraded to the most up to date version in mid-November which should improve the user experience of the system and reduce down time. (TC)

CFS5: Failure to recruit and retain sufficient numbers of social work front line managers to meet statutory duties and requirements. Whilst the risk control measures have been put in place the risk is still considered high as there continues to be a vacancy issue within the front line social work management positions. The Management Trainee Programme has been implemented with the successful candidate having secured a Senior Early Help Role and with recruitment to the scheme underway it is hoped that there will be more candidates from social care. Additionally a group of frontline Managers successfully completed a nationally recognised Management Qualification in the summer. (TC)

COPS2: Failure to secure improvement in education, employment and training rates in light of government cuts. Whilst the percentage of Halton 16-18 year olds in NEET has reduced compared with previous years, the borough still faces a significant challenge to ensure full post-16 participation for all young people as part of the Raising Participation Age (RPA) agenda. (AM)

5.0 Progress against high priority equality actions

The Council must have evidence that it reviews its services and policies to show that they comply with the Public Sector Equality Duty (PSED) which came into force in April 2011. The PSED also requires us to publish this information as it is available.

As a result of undertaking a Departmental Equality Impact Assessments no high priority actions were identified for the Directorate to quarter 2 2012 – 2013.

6.0 Performance Overview

The following information provides a synopsis of progress for both milestones and performance indicators across the key business areas that have been identified by each Directorate.

Commissioning

Key Milestones

Ref	Milestones	Q2 Progress
LAS1	Review the performance of all schools and EY provision with a specific focus on those currently graded as satisfactory. Identify actions, including levels of support or intervention, required to improve inspection outcomes by July 2012. (SN)	
LAS3	Commission a review of Autism provision in Halton through the National Autistic Society by October 2012. Consider the recommendations of the Review and implement an appropriate action plan. (SN)	
COPS1	Ensure sufficiency to cover the extension of support to vulnerable 2 year olds by April 2012 (AMc)	
COPS2	Evaluate and monitor the impact on current school sufficiency and sustainability through the development of Academies and Free Schools by March 2013 (AMc)	
COPS3	Strengthen the understanding and links with colleagues in Health to ensure effective commissioning by March 2013 (AMc)	
COPS4	Improve outcomes for children and young people through integrated and targeted youth support ensuring the effective transition in youth service to the new providers (AMc)	

Supporting Commentary

All milestones related to commissioning are progressing in line with expectations.

The progress of all satisfactory schools continues to be monitored by school improvement personnel, providing support and intervention where appropriate. All satisfactory schools are allocated a named school improvement officer. This year has seen a reduction in the number of schools falling below the floor standard, including satisfactory schools. In providing levels of support the categorisation of private and voluntary settings is undertaken by the Early Years Consultant Teachers (EYCTs). This is an on-going process with categories subject to change depending upon the context of the setting at the time that the Red, Amber, Green (RAG) rating is undertaken. This categorisation of settings informs the level of support provided. (SN)

The review of Autism provision was completed and reported to the Executive Board in May 2012 with a detailed action plan devised following the recommendations. As part of this a consultation is planned for the Autumn term on the suggested re-designation of Ashley School. (SN)

Various options to increase the current capacity of two year old places are currently being explored, particularly considering using under-utilised space within existing nurseries and crèche space within Children's Centres. (AM)

Sandymoor Free School opened 3 September 2012. Pupil numbers have increased from 19 when the school opened to 27 pupils across Year 7 and Year 8 at the time of writing this report. In some

cases pupils have transferred from Runcorn Secondary schools and the impact is being monitored. The application for a Sixth Form Free School for The Heath was not approved however the local authority has been advised that The Heath will be permitted to consult on the establishment of sixth form provision. A date for this consultation has yet to be advised. (AM)

The new Universal Youth Provision is provided by Catch 22 and is active and engaging young people in activities across Halton. Catch 22 has ensured that the provision has not been affected by the transition and has also increased weekend provision. To develop more local youth providers, partnership working with targeted and specialist provision has improved and Catch22 has supported this. The number of preventative and targeted outreach sessions in hotspot areas has increase that the provider of targeted and specialist provision, Young Addaction, has improved multi-agency working with key partners such as health and community safety. They have increased weekend and school holiday sessions and increased the number of holistic health drop-ins in schools and community settings. (AM)

Key Performance Indicators

Ref	Measure	11/12 Actual	12/13 Target	Q2	Current Progress	Direction of Travel
SCS CYP09	Percentage of educational settings with overall effectiveness of Good or Outstanding	79%	84%	76%		
NI112 adjusted SCS	Under 18 conception rate, percentage change from 2009 baseline (58.9 rolling quarterly average)	63.3 rolling quarterly average	56.3 rolling quarterly average	51.1 rolling quarterly average		
COP LI05	Under 18 conception rate, reduction in conceptions from 2009 baseline (140 conceptions)	142	137 (3% reduction)	45 at Q2		
SCS CYP07	Reduce the rate of CYP admitted to hospital for substance misuse	New calculation	29.2 per 10,000	11.66 per 10,000		
SCS SH04	Reduce the number of Young People who repeatedly run away in Halton	465 episodes	To re-establish baseline in 2012/13 - Reduction	137 episodes		

Supporting Commentary

Most measures are progressing in line with expectations;

Halton's under 18 conception rate continues to drop and has reduced from both the 2009 baseline and the performance seen last year. It is expected that Halton will meet the end of year targets in relation to under 18 conception rates. (AM)

The current available data for children and young people admitted to hospital for substance misuse has reduced based on the first half of the year and is forecast to meet the end of year targets. (AM)

Due to changes in data availability the data information from Cheshire Constabulary includes both adults and young people and therefore numbers are to be considered alongside the commissioned services data and not compared to the previous data provided. Both datasets have demonstrated a reduction in overall numbers of episodes of missing from home, care and school. The number of CICOLA's who repeatedly run away have also reduced this quarter. (AM)

Performance is not meeting targets for one measures;

Overall effectiveness of across all phases of education is split as follows;

- Nursery 100%
- Primary 76%
- Special Schools 100%
- Secondary Schools 60%

The schools inspected in quarter two were all inspected as good or outstanding, however performance in quarter one has impacted on this measure. Reaching the end of year target is challenging and will be reliant on the schools inspected by Ofsted in the third and fourth quarter. (SN)

Early Help & Support

Key Milestones

Ref	Milestones	Q2 Progress
CFS2	Develop with the Children's Trust a new level of needs framework by December 2012	✓
CFS2	Implement the new Team Around the Family structure by September 2012	✓
LAS3	Ensure the support for vulnerable two year olds is appropriate within EYFS settings	✓
LAS1	Complete the Foundation Stage Profile data analysis at ward level, to identify multi agency links; training and interventions that can be planned through Children's Centre and Early Years support by December 2012	✓

Supporting Commentary

All milestones related to early help and support are progressing in line with expectations.

Progress continues regarding the levels of need review. Consistent attendance and involvement from all partners has kept the review within timescales and proposals are due to go out for consultation by November 2012. The priority will be involving frontline staff across all partners before taking the revised draft levels of need to the Safeguarding Board and Children's Trust. (TC)

The new Team around the Family structure is now fully implemented, although there remain a number of vacancies. The priority now is to embed the new design to ensure services within the Division are fully integrated. (TC)

The Early Years consultant teacher is liaising closely with the two year old participation officer regarding vulnerable two years olds. A steering and working group continually review the appropriate placement of funded two year olds. All settings must have an Infant Toddler Environment rating scale audit to be able to take funded two year olds and must have either Good or Outstanding Ofsted report or Good or Outstanding quality assurance audit. The Early Years consultant teacher team support the setting to plan opportunities for development across the EYFS suited to the individual child's needs. Assessment through observation and close monitoring is taking place to plan next steps and identify early interventions or support from multi agency organisations. Several children have also received further support through the Enhanced Provision Panel as a result of this close monitoring. Schools are now being informed when children reach reception class, of those who have received two year old funding. These children will continue to be tracked so that their needs can be met and impact can be identified. The aim is now to gain local authority wide information of two year old funded children, so that foundation stage profile data 2013 will be analysed as a group. (SN)

Early Years Foundation Stage (EYFS) Profile data has been analysed at a local authority level and children centres level to inform future areas of support. Multi agency links and training are being planned and delivered with the Speech and Language therapy team; Educational Psychology team; SEN team and plans are in place to work more closely with Health Visitors and Portage around child development and Halton's assessment process. The Early Years Consultant Teacher team are working closely with Think Family Principal Managers to co-ordinate and prioritise services for children and their families, particularly vulnerable groups and increasing participation with vulnerable two year olds. This work is in collaboration with the two year old participation officer and the wider Early Years team. The main priorities within the borough are Child Development; communication skills; supporting Emotional Development and delivering the revised EYFS effectively within Children's Centre sessions and day care. A two day Early Years conference is planned for October

2012, focusing upon Child Development and developing characteristics of effective learning, to support skills for lifelong learning. (SN)

Key Performance Indicators

Ref	Measure	11/12 Actual	12/13 Target	Q2	Current Progress	Direction of Travel
LPI07COP	Take up of Early Years entitlement for vulnerable 2 year olds	107	100	128		
NI072 SCS CYP01	Early Years Foundation Stage Attainment	48.3%	56%	54.1%		
CFS LI03	Number of multi-agency interventions (CAF) which are in place and operating for Level 2/3 cases	New indicator	250	286		
CFS LI07 SCS	Percentage of referrals to social care that had been subject to CAF in the previous 12 months	7%	15%	9.1%		

Supporting Commentary

Some measures are progressing in line with expectations;

Halton were given a target of 70 full time equivalent vulnerable two year olds take up for their early years entitlement, and internally determined a target of 100. Both have been exceeded for quarter two by maximising the funding available as outreach work was already in place and being funded from other budgets. (AM)

The number of CAF's continues to rise. There continues to be involvement from a range of partners who recognise the benefits of undertaking holistic assessments to coordinate early help to families. (TC)

Performance has improved for the percentage of referrals to social care where there is evidence that early help through CAF has been undertaken. The performance however for this measure is below target and further analysis is being undertaken to establish what is impacting on this performance measure. Meeting the target for the end of year will remain a challenge and it is uncertain at this stage if the target will be met. (TC)

Performance has not met the target for one measure;

Following a 6% increase in performance the percentage of children achieving 78+ points at Early Years Foundation Stage including 6+ points in each of the scales has failed to meet the target set. Early Years performance remains a key area for improvement for the Directorate. (SN)

Narrowing the Gap

Key Milestones

Ref	Milestones	Q2 Progress
CFS3	Effectively implement the new Framework for the Assessment of Children in Need and the changes to Working Together to Safeguard Children (currently out for consultation)	
CFS4	Implement the revised Children in Care and Care Leaver pathways by September 2012	
CFS4	Implement the action plan from the multi-agency Children in Care strategy (2011-14) by March 2013	
LAS1	Review the alerts and triggers criteria to ensure that they align with the current floor standards and use to support the categorisation of all schools	
LAS2	Analyse the levels of absence, including persistent absence, across all phases on a termly basis	
LAS3	Conduct data analysis for Children in Care and with schools to ensure that action plans for individual pupils are in place by September 2012	
LAS3	Conduct data analysis for Free School Meals Pupils and identify areas of need and support required by November 2012	

Ref	Milestones	Q2 Progress
COPS2	Monitor and evaluate the arrangements for Information, Advice and Guidance due to changes in Local Authority statutory responsibilities, with particular focus on the impact on NEET (not in education, employment or training) by March 2013	

Supporting Commentary

All milestones related to narrowing the gap are progressing in line with expectations.

The new framework for the Assessment of Children and Need is currently out for consultation and Halton will contribute to the consultation. The new framework is likely to be implemented April 2013.

Resources within the Permanence and Young People's Teams have been realigned to reflect the revised pathways in line with the timescales set and the action plan from the multi-agency Children in Care strategy continues to be implemented with oversight from the Children in Care Partnership Board. (TC)

The school improvement team use the national floor standards as an indicator of school performance. The analysis of school performance against these indicators has supported the categorisation of all schools. There will be a further analysis of performance data and this process will be completed by December 2012 following the publication of validated data. Absence is similarly monitored and analysis conducted when termly data is available. (SN)

Analysis of Free School Meals pupils attainment has been undertaken on the provisional data and the gap between the FSM pupils and their peers appears to have been reduced. Learning from the Peer Challenge conducted is informing future work with schools. (SN)

Key Performance Indicators

Ref	Measure	11/12 Actual	12/13 Target	Q2	Current Progress	Direction of Travel
CFS LI02	Single Social Work Assessment – measure to be defined once guidance published	New Indicator	TBC	Refer comment		
NI075 SCS CYP03	Proportion achieving 5+ GCSE A*-C including English and Maths	56%	55.5%	59% <i>provisional</i>		
NI073 SCS	Proportion achieving Level 4 KS2 English and Maths	77%	81%	83%		
LPI03 CYP SCS	Percentage of Children in Care achieving expected outcomes at KS2 and KS4	83% (KS2)	No target	100% KS2 60% KS4 <i>provisional</i>	N/A	N/A
NI102a SCS CYP10	Achievement gap at Key Stage 2 English and Maths Free School Meals and peers	20.6%	12%	13% <i>provisional</i>		
NI102b SCS CYP11	Achievement gap at Key Stage 4 Free School Meals and peers	28.3%	24%	26.8% <i>provisional</i>		
NI148	Care Leavers in Employment, Education or Training at 19	81.8%	75%	79%		
SCS CYP12	Improved identification of Special Educational Needs at School Action and School Action Plus	New indicator	20.2%	Refer comment *		
SCS CYP14	The percentage of children with Statements of SEN or receiving enhanced provision achieving two levels progress	New indicator	No target	Refer comment *		
NI104	SEN/Non-SEN achievement gap at KS2 English and Maths	53.3%	33%	Refer comment ~		

Ref	Measure	11/12 Actual	12/13 Target	Q2	Current Progress	Direction of Travel
NI105	SEN/Non-SEN achievement gap at GCSE 5+ A*-C including English and Maths	46.2%	27%			Refer comment ~
NI080	Achievement of Level 3 qualification at age 19	51.2%	45%			Refer comment ~

Supporting Commentary

There is mixed performance with measures representing narrowing the gap at quarter two and significant numbers are not available for reporting at this stage in the year. * Data is expected quarter three, ~ Data is expected quarter four.

The new Single Social Work Assessment is not now expected to come into effect until April 2013 in line with the new Assessment of Child and Need framework. Performance for Initial Assessments was 75% and for Core Assessments was 76%. This data is subject to change through quality assurance processes. (TC)

Provisional GCSE attainment is suggesting that performance is 3% higher than previous year and has exceeded the target set. This is Halton's highest ever attainment and results are in line with the provisional national results. There is a similar increase in Key Stage 2 performance where the target has been exceeded and provisional performance has seen a 6% increase on the previous year. (SN)

Due to small cohorts and statistical variation, targets are not provided for children in care. Instead an analysis of the small cohort is conducted on an individual basis for these children to underpin the resulting performance. From provisional data it has been analysed that all children achieved in line with their expected performance for 2012 tests at both KS2 and KS4. (SN/TC)

As stated above the provisional Free School Meals pupil attainment has been analysed and the gap has been decreased between the FSM pupils and their peers. Halton had set challenging targets however and neither the KS2 or KS4 targets have been achieved based on this provisional data despite the improvements. This is due in part to the general increase in performance at both key stages. (SN)

Of the 19 care leavers in the cohort for the measure of those in employment, education or training at 15 are engaged in EET. The remaining four young people have additional issues which are impacting on their engagement however support is being provided to improve their engagement. (TC)

Employment & Growth

Key Performance Indicators

Ref	Measure	11/12 Actual	12/13 Target	Q2	Current Progress	Direction of Travel
NI117 SCS	Percentage of 16-18 year olds not in education, employment or training	10.3%	9.5%			Refer comment
SCS CYP13	Percentage of young people progressing to Higher Education	New indicator	24%			Refer comment

Supporting Commentary

Whilst performance for the proportion of 16-18 year olds not in education, employment or training is not available until quarter four, the position in July 2012 showed a decrease (9.9%). (AM)

The percentage of young people progressing to Higher Education will be available in quarter four, however it is expected that the performance will be lower than previous years given the national changes to tuition fees. (AM)

**Common key areas of focus:
Workforce planning & development, Asset Management, Resources**

Key Milestones

Ref	Milestones	Q2 Progress
CFS1	Review the terms and conditions for Halton's Social Care workforce to ensure Halton's offer is competitive by June 2012	
CFS2	Ensure the Team Around the Family workforce have a set of core competencies to work with families with multiple problems by March 2013	

Supporting Commentary

The majority of milestones in this section are progressing in line with expectations.

A programme of training has been agreed in conjunction with Learning and Development colleagues to ensure frontline staff have a core set of competencies to work with families in greatest need. This includes a commissioned programme on engaging and communication with children and families. A key priority is to ensure that such training, if available, is offered to staff working within the Inspiring Families programme. (TC/AM)

The local temporary agreement to pay recruitment and retention increments for hard to recruit Principal Managers has been agreed with Unions and the agreement will be reviewed in March 2013. The efficiency review of Child Protection and Children in Need service has identified recruitment of frontline managers as a significant challenge. It is expected that the 'to be' report will bring recommendations in relation to recruitment and retention in quarter three. (TC)

7.0 Financial Statement

CHILDREN & ENTERPRISE DIRECTORATE – SUMMARY

Revenue Budget as at 30th September 2012

1. Revenue Spending

- 1.1 Staffing expenditure is below budget, primarily due to a number of vacancies within the Children & Families; Learning & Achievement and Children Organisation & Provision Departments. However, within Economy, Enterprise & Property Department the Employee budget is currently over budget to date due to insufficient level of staff vacancies compared to the staff savings targets.
- 1.2 Energy and Water costs are under budget due to efficiency improvements which have been implemented within the buildings and have resulted in less expenditure being incurred.
- 1.3 Supplies & Services is below budget due in the main to a reduction in service spend specifically around this budget provision across all areas. This is expected to be under budget at year end.
- 1.4 Connexions underspend has been achieved on the IAG (Information & Guidance) core contract as a result of renegotiation of contract costs.
- 1.5 School Transport is currently below budget to date as a result of the retendering of contracts. Separately transport is also below budget to date due to a reduction in the use of volunteer drivers and this is expected to be under budget at year end.
- 1.6 Currently there is an under spend within Commissioned Services as a number of contracts have been rationalised resulting in reduced costs in terms of contract values. This budget will be monitored closely and any projected under spend will be utilised elsewhere to effectively deliver services within other priority areas.
- 1.7 Apart from in house adoption other budgets areas including residential placements; out of borough adoption and in house fostering service are currently under budget to date. This is mainly due to a change in the dynamics of children currently in care. However the overall position across all these children in care services is under budget to date and this is expected to remain that way at year end.
- 1.8 Rental Income (including Markets; Industrial Estates & Commercial) is currently showing a shortfall due to vacant units across all three areas. Work is being carried out to promote these units and there is a possibility that activity levels will change as the financial year progresses. Budgets will be monitored closely throughout the year to make sure the financial impact is limited and action taken to balance the Directorate budget at year-end.

2. Capital Spending

- 2.1 Spending on capital schemes is generally below the capital allocations. In detail the following comments are in relation to some of the schemes:-
- 2.2 Capital Repairs - work has taken place in relation to the projects identified in 11-12 which were delayed till the Easter Holidays. The majority of 2012-13 projects have been completed over the summer holidays; a small amount will be carried out in the October half term.
- 2.3 Schools Access - bids have been received from schools and have been approved, work will commence further once schools procure work and submit invoices.
- 2.4 Education Programme General – expenditure incurred in relation to maintenance repairs in respect of Emergency and also Health and Safety issues on an ad hoc basis.
- 2.5 Weston Primary – work not commenced as still in discussions with School.
- 2.6 Basic Need Projects - St Bede's Juniors due to commence Early 2013.
- 2.7 Schools Modernisation Projects - bids have been received and provisionally approved. A good proportion of schemes have been completed over summer holidays. Those remaining will be complete before the end of the financial year have been received and provisionally approved

3. Overall Directorate Financial Position

In overall terms, the Directorate's revenue spending is below budget to date and it is anticipated that it will be under spent by year-end. This is in the main due to staffing vacancies and limited spend on supplies & services. Whilst this position is envisaged to remain throughout the rest of the current financial year, budgets will continue to be monitored closely.

CHILDREN & ENTERPRISE DIRECTORATE

SUMMARY FINANCIAL POSITION AS AT 30th September 2012

	Annual Budget £'000	Budget to Date £'000	Expenditure to Date £'000	Variance to Date (overspend) £'000
<u>Expenditure</u>				
Employees	20,504	9,271	9,139	132
Premises	909	202	191	11
Repairs & Maintenance	2,484	1,265	1,295	(30)
Energy & Water Costs	679	376	330	46
NNDR	1,010	837	795	42
Rents	679	539	557	(18)
Marketing Programme	59	17	16	1
Promotions	33	20	20	0
Supplies & Services	5,276	1,571	1,383	188
Transport	54	11	10	1
Agency Related Expenditure	3,089	2,099	2,063	36
Commissioned Services - Youth Services	900	415	415	0
Commissioned Services	2,307	608	589	19
Connexions	1,073	406	346	60
Residential Placements	1,666	594	418	176
Out of Borough Adoption	414	176	118	58
Out of Borough Fostering	80	11	10	1
In House Foster Carer Placements	1,669	864	797	67
In house Adoption	387	203	250	(47)
Care Leavers	316	158	149	9
Family Support	129	24	14	10
Independent School Fees	1,553	889	889	0
Inter Authority Recoupment	795	189	189	0
Speech Therapy	120	0	0	0
Revenue Contribution to / from Reserves	-45	0	0	0
Schools Contingency Costs	536	0	0	0
NQT Contingency	126	0	0	0
Schools Non Delegated Support	294	0	0	0
Schools Transport	912	349	341	8
Special Education Needs Contingency	903	106	106	0
Total Expenditure	48,911	21,200	20,430	770

Sales	-3	-1	0	(1)
Fees & Charges	-1,086	-543	-542	(1)
Adoption Placements	-41	-18	-18	0
Rent - Markets	-806	-399	-364	(35)
Rent - Industrial Estates	-625	-287	-275	(12)
Rent - Commercial	-1,128	-656	-574	(82)
Transfer to / from Reserves	-1,592	-1,020	-1,020	0
Dedicated Schools Grant	-10,087	-5,101	-5,101	0
Early Intervention Grant	-9,189	-4,597	-4,597	0
Government Grant - Income Reimbursements & Other Income	-443	-236	-236	0
	-1,586	-405	-383	(22)
Inter Authority Income	-578	-289	-289	0
Recharges to Capital	-141	-46	-46	0
Schools SLA Income	-1,342	-129	-64	(65)
Total Income	-28,647	-13,727	-13,509	(218)
NET OPERATIONAL BUDGET	20,264	7,473	6,921	552
Premises Support Costs	2,374	1,351	1,351	0
Transport Support Costs	427	169	169	0
Central Support Service Costs	7,962	3,867	3,867	0
Asset Rental Support Costs	6,693	16	16	0
Repairs & Maint. Rech. Income	-2,393	-1,197	-1,197	0
Accommodation Rech. Income	-3,344	-1,672	-1,672	0
Central Supp. Service Rech. Income	-2,001	-1,243	-1,243	0
Total Recharges	9,718	1,291	1,291	0
Net Expenditure	29,982	8,764	8,212	552

DEPARTMENTAL DIVISIONAL SUMMARIES

Revenue Budget as at 30th September 2012

	Annual Budget £'000	Budget to Date £'000	Expenditure to Date £'000	Variance to Date (overspend) £'000
Children & Families Department				
Children & Families Departmental	1,187	564	509	55
Children in Need	3,669	1,729	1,683	46
Children in Care	4,001	1,954	1,908	46
In House Fostering	1,669	864	797	67
Out of Borough Placements	2,079	721	533	188
Children's Locality Widnes	1,702	753	694	59
Early Intervention Grant	-9,189	-4,597	-4,597	0
Children's Locality Runcorn	3,288	1,439	1,360	79
Safeguarding	615	201	199	2
Total	9,021	3,628	3,086	542
Learning & Achievement Department				
Learning & Achievement Departmental	458	85	88	-3
0-19 Learning Service	2,278	1,647	1,604	43
Inclusion 0 – 25 Service	3,557	406	351	55
Inter Auth. Charges	217	9	9	0
Independent School Fees	1,553	889	889	0
Total	8,063	3,036	2,941	95
Children's Organisation & Provision Department				
Children Organisation & Provisional Departmental	6,881	1,696	1,694	2
Commissioned Services	900	415	415	0
Integrated Youth Support Services & Commissioning	2,613	963	903	60
Liverpool City Region - Connexions	70	0	0	0
Place Planning & Provision	2,591	1,951	1,897	54
Schools Transport	913	343	335	8
COP Schools	3,201	106	106	0
Dedicated Schools Grant Income	-10,087	-5,101	-5,101	0
Transforming Children's Environment	302	276	283	-7
Post 16 Dev't 14 - 19	1,063	370	368	2
Total	8,447	1,019	900	119

Economy, Enterprise & Property Department				
Economy, Enterprise & Property Departmental	79	38	37	1
Employment Learning & Skills	1,012	415	415	0
Property Services (Operations)	-110	488	552	-64
Investment & Development Services	3,470	140	281	-141
Total	4,451	1,081	1,285	-204
Total Children & Enterprise Directorate	29,982	8,764	8,212	552

CHILDREN & FAMILIES DEPARTMENT

Revenue Budget as at 30th September 2012

	Annual Budget £'000	Budget to Date £'000	Expenditure to Date £'000	Variance to Date (overspend) £'000
<u>Expenditure</u>				
Employees	8,031	3,783	3,688	95
Premises	415	156	145	11
Supplies & Services	1,421	460	334	126
Transport	36	7	6	1
Commissioned Services	534	124	110	14
Agency Related Expenditure	389	115	100	15
Residential Placements	1,666	594	418	176
Out of Borough Adoption	80	11	10	1
Out of Borough Fostering	414	176	118	58
In House Foster Carer Placements	1,669	864	797	67
In house Adoption	387	203	250	(47)
Care Leavers	316	158	149	9
Family Support	129	24	14	10
Total Expenditure	15,487	6,675	6,139	536
Fees & Charges	-123	-45	-47	2
Adoption Placements	-41	-18	-18	0
Transfer to / from Reserves	-500	-133	-133	0
Early Intervention Grant	-9,189	-4,597	-4,597	0
Reimbursements & Other Income	-222	-39	-43	4
Total Income	-10,075	-4,832	-4,838	6
NET OPERATIONAL BUDGET	5,412	1,843	1,301	542
Premises Support Costs	335	176	176	0
Transport Support Costs	85	43	43	0
Central Support Service Costs	3,143	1,560	1,560	0
Asset Rental Support Costs	46	6	6	0
Total Recharges	3,609	1,785	1,785	0
Net Expenditure	9,021	3,628	3,086	542

The Employee budget is currently under budget to date due to a number of staff vacancies predominantly in the child care and think family teams and is expected to be under budget at year end.

Supplies & Services are currently under budget to date due in the main to a reduction in overall demand for supplies & services in localised areas following reduced staffing levels and streamlined workflows. This is expected to be under budget at year end.

Apart from in house adoption other budgets areas including residential placements; out of borough adoption and in house fostering service are currently under budget to date. This is mainly due to a change in the dynamics of children currently in care. However the overall position across all these children in care services is under budget to date and this is expected to remain that way at year end.

LEARNING & ACHIEVEMENT DEPARTMENT

SUMMARY FINANCIAL POSITION AS AT 30th September 2012

	Annual Budget £'000	Budget to Date £'000	Expenditure to Date £'000	Variance to Date (overspend) £'000
<u>Expenditure</u>				
Employees	4,180	1,667	1,592	75
Premises	37	9	9	0
Supplies & Services	1,178	134	121	13
Transport	13	0	0	0
Agency Related Expenditure	91	24	18	6
Commissioned Services	14	13	8	5
Independent School Fees	1,553	889	889	0
Inter Authority Recoupment	795	189	189	0
Speech Therapy	120	0	0	0
Total Expenditure	7,981	2,925	2,826	99
Fees & Charges	-160	-69	-66	-3
Transfer to / from Reserves	-59	-25	-25	0
Government Grant Income	-69	-16	-16	0
Reimbursements & Other Income	-42	-10	-9	-1
Inter Authority Income	-578	-289	-289	0
Schools SLA Income	-38	-2	-2	0
Total Income	-946	-411	-407	-4
NET OPERATIONAL BUDGET	7,035	2,514	2,419	95
Premises Support Costs	90	45	45	0
Transport Support Costs	19	17	17	0
Central Support Service Costs	918	459	459	0
Asset Rental Support Costs	1	1	1	0
Total Recharges	1,028	522	522	0
Net Expenditure	8,063	3,036	2,941	95

Employee expenditure is below budget, primarily due to a number of vacancies within both Divisions.

Supplies & Services are currently under budget to date due in the main to a reduction in overall demand for supplies & services in localised areas following reduced staffing levels and streamlined workflows. This is expected to be under budget at year end.

CHILDREN'S ORGANISATION & PROVISION DEPARTMENT

SUMMARY FINANCIAL POSITION AS AT 30th September 2012

	Annual Budget £'000	Budget to Date £'000	Expenditure to Date £'000	Variance to Date (overspend) £'000
<u>Expenditure</u>				
Employees	3,732	1,638	1,605	33
Premises	457	37	37	0
Supplies & Services	1,491	412	411	1
Transport	5	4	4	0
Agency Related Expenditure	328	141	129	12
Commissioned Services - Youth Serv.	900	415	415	0
Commissioned Services	1,759	471	471	0
Connexions	1,073	406	346	60
Nursery Education Payments	2,201	1,798	1,798	0
Schools Contingency Costs	536	0	0	0
NQT Contingency	126	0	0	0
Schools Non Delegated Support	294	0	0	0
Schools Transport	912	349	341	8
Special Education Needs Contingency	903	106	106	0
Total Expenditure	14,717	5,777	5,663	114
Sales				0
Fees & Charges	-484	-186	-186	0
Transfer to / from Reserves	-268	-251	-251	0
Dedicated Schools Grant	-10,087	-5,101	-5,101	0
Reimbursements & Other Income	-568	-287	-292	5
Schools SLA Income	-516	-22	-22	0
Total Income	-11,923	-5,847	-5,852	5
NET OPERATIONAL BUDGET	2,794	-70	-189	119
Premises Support Costs	159	89	89	0
Transport Support Costs	276	87	87	0
Central Support Service Costs	2,032	913	913	0
Asset Rental Support Costs	3,186	0	0	0
Total Recharges	5,653	1,089	1,089	0
Net Expenditure	8,447	1,019	900	119

Employee expenditure is below budget to date due to maternity leave (and subsequent savings) also vacancies within Place Planning Provision and Integrated Divisions.

Agency Related expenditure is underspent due to Sustainability applications still being processed.

Transport underspend is due a reduction in contract costs due to contract negotiation and re-tendering.

Connexions underspend has been achieved on the Information Advice Guidance core contract due to renegotiation of contract costs.

CAPITAL PROJECTS CHILDREN & ENTERPRISE at 30th SEPTEMBER 2012

	2012/13 Capital Allocation	Allocation To Date	Actual To Date	Variance To Date (Overspend)
	£'000	£'000	£'000	£'000
Children & Enterprise Directorate				
Asset Management (CADS)	20	1	1	0
Capital Repairs	1,771	1,150	1,127	23
Fire Compartmentation	20	10	6	4
Asbestos Management	10	6	5	1
Schools Access Initiative	100	22	22	0
Education Programme General	140	30	30	0
Weston Primary	18	0	0	0
Lunts Heath – Basic Need	773	171	171	0
Windmill Hill – Basic Need	352	94	94	0
St Bedes Infants – Basic Need	535	300	291	9
St Bedes Junior – Basic Need	450	0	0	0
Weston Point –Basic Need	83	2	1	1
School Modernisation Projects	500	50	48	2
Short Breaks for Disabled Children	190	100	99	1
Wade Deacon (BSF)	16,537	10,379	10,379	0
The Grange (BSF)	1,900	0	0	0
TOTAL SCHOOLS	23,399	12,315	12,274	41
<u>Employment, Econ Regen. & Business Dev Dept</u>				
Castlefields Regeneration	2,269	50	57	(7)
3MG	3,000	890	941	(51)
Widnes Waterfront	40	20	14	6
The Hive	284	0	0	0
Decontamination of Land	559	79	79	0
Property Purchase	29	29	29	0
Municipal Building Refurbishment of Podium Level	38	38	38	0
Runcorn Library Replacement	224	206	168	38
Disability Discrimination Act/Disabled Access	300	74	11	63
Total	6,743	1,386	1,337	49
Grand Total	30,142	13,701	13,611	90

8.0 Appendix – Explanation for use of symbols

Symbols are used in the following manner:

<u>Progress</u>	<u>Objective</u>	<u>Performance Indicator</u>
Green 	Indicates that the <u>objective is on course to be achieved</u> within the appropriate timeframe.	<i>Indicates that the annual target <u>is on course to be achieved.</u></i>
Amber 	Indicates that it is <u>uncertain or too early to say at this stage</u> , whether the milestone/objective will be achieved within the appropriate timeframe.	<i>Indicates that it is <u>uncertain or too early to say at this stage</u> whether the annual target is on course to be achieved.</i>
Red 	Indicates that it is <u>highly likely or certain</u> that the objective will not be achieved within the appropriate timeframe.	<i>Indicates that the target <u>will not be achieved</u> unless there is an <u>intervention or remedial action</u> taken.</i>

Direction of Travel Indicator

Where possible performance measures will also identify a direction of travel using the following convention

Green 	<i>Indicates that</i> performance is better <i>as compared to the same period last year.</i>
Amber 	<i>Indicates that</i> performance is the same <i>as compared to the same period last year.</i>
Red 	<i>Indicates that</i> performance is worse <i>as compared to the same period last year.</i>
N/A	<i>Indicates that the measure cannot be compared to the same period last year.</i>

Key for Operational Director lead:

SN – Steve Nyakatawa, Operational Director, Learning and Achievement Service (LAS)
 AMc – Ann McIntyre, Operational Director, Children’s Organisation and Provision Service (COPS)
 TC – Tracey Coffey, Operational Director, Children and Families Service (CFS)